



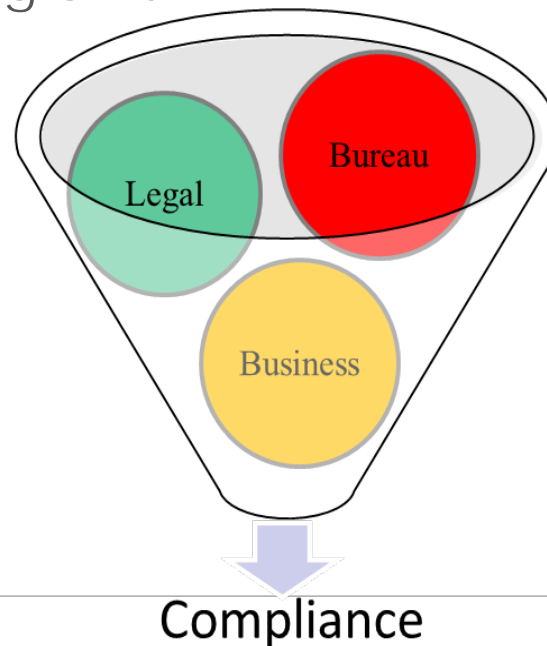
Cartels and Compliance: The Canadian Experience

ICN CWG SG1 Webinar on Compliance
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INCREASING COMPLIANCE: A SHARED APPROACH

- *Shared compliance* approach: we all have a role to play
 - **Bureau**: Uses a variety of tools, including outreach, enforcement and advocacy
 - **Legal community**: Makes clients aware of their obligations
 - **Business community**: Implements and abides by compliance programs



DEDICATED RESOURCES FOR COMPLIANCE PROMOTION & ADVOCACY

- The Competition Bureau helps the Canadian marketplace be more competitive and innovative for the benefit of businesses and consumers, through its:
 - Competition enforcement branches
 - Competition promotion branch
- Each of these has an important role to play in **promoting** and **enabling** compliance
- The Bureau also has a dedicated Compliance Unit which engages in compliance outreach and provides compliance expertise



COMPETITION COMPLIANCE FRAMEWORK



COMPLIANCE - OUTREACH

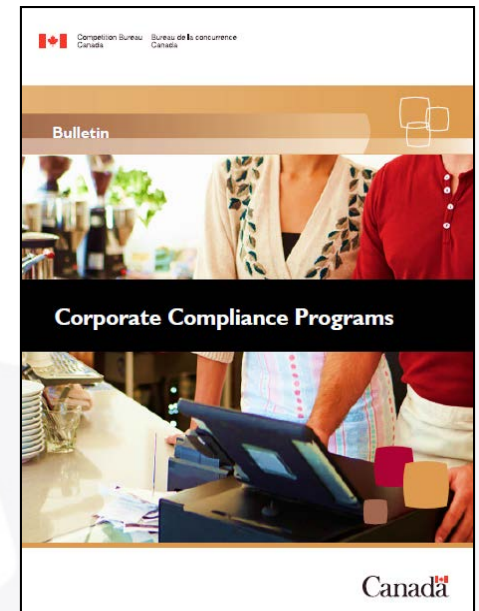
- Aim to *inform stakeholders*.
- Instruments serve to *increase awareness, predictability and transparency*
- The intended effect:
 - To **avoid** engaging in anticompetitive behaviour;
 - To **recognize** when others engage in anticompetitive behaviour, and to report it to the Bureau; and
 - To **extend** the reach and effectiveness of that enforcement

COMPLIANCE - ENFORCEMENT

- Compliance programs and “penalty mitigation”
- Facilitate settlement
- Required as part of settlement
- Leniency applications
- Due diligence

CORPORATE COMPLIANCE PROGRAMS BULLETIN

- Provides guidance and incentives for Canadian businesses to develop and maintain a compliance program
- Raises the standards for compliance
- Outlines the seven requirements for a credible and effective program
- Provides incentives
- Provides tools designed to help businesses through the process step-by-step



Canada