

The role of competition authorities in fostering compliance campaigns

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Four-step competition law compliance process

STEP 1: Risk Identification

Identify the key competition law compliance risks faced by your business. These will depend upon the nature and size of your business.

STEP 4: Review

Review steps 1 to 3 and your commitment to compliance regularly, to ensure that your business has an effective compliance culture. Some businesses review their compliance efforts on an annual basis, others review less frequently. There may be occasions when you should consider a review outside the regular cycle, such as when taking over another business or if you are subject to a competition law investigation.

Core: Commitment to compliance (from the top down)

Senior management, especially the board, must demonstrate an unequivocal commitment to competition law compliance. Without this commitment, any competition law compliance efforts are unlikely to be successful.



STEP 2: Risk assessment

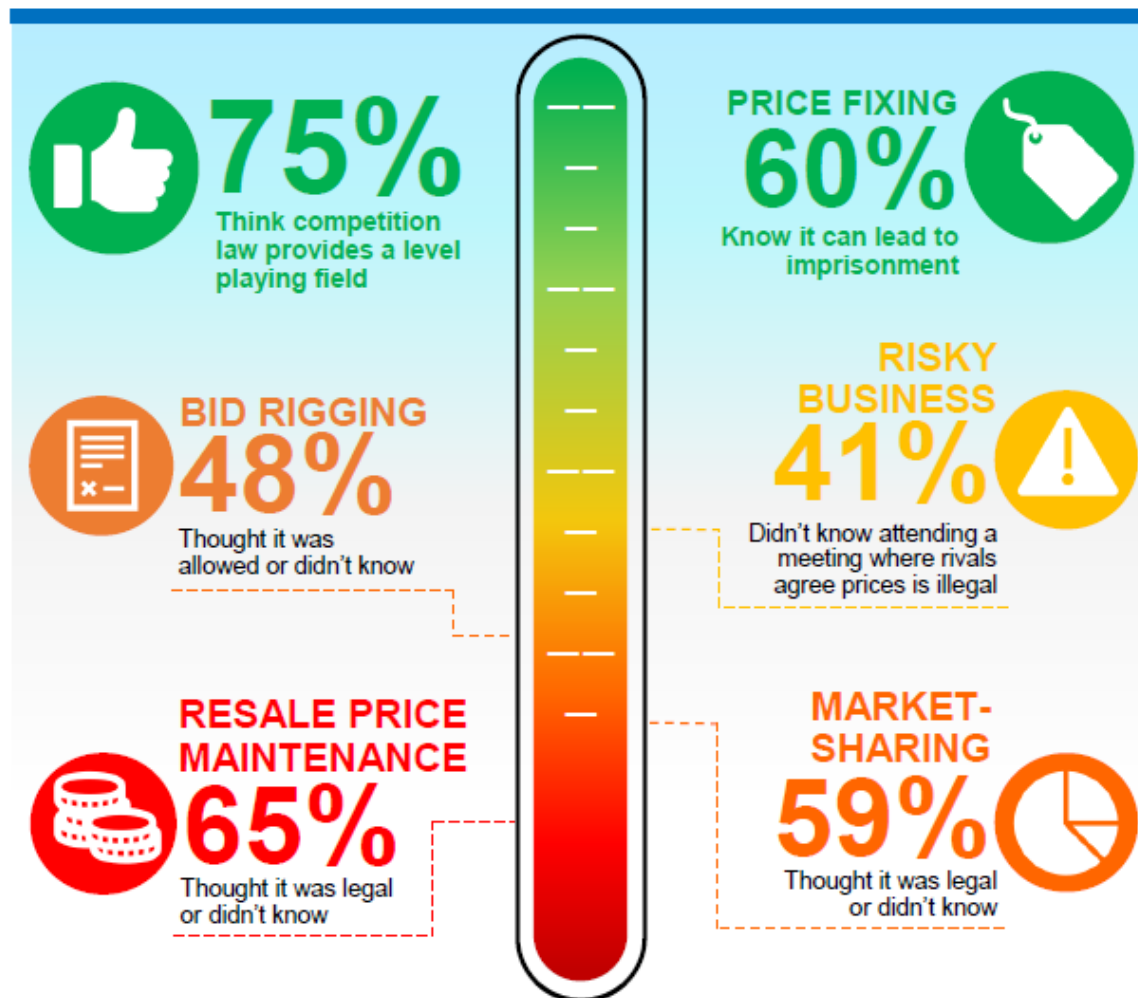
Work out how serious the identified risks are. Often it is simplest to rate them as low, medium or high. Businesses in particular should consider assessing which employees are in high risk areas. These may include employees who are likely to have contact with competitors and employees in sales and marketing roles.

STEP 3: Risk mitigation

Set up policies, procedures and training to ensure that the risks you have identified do not occur, and how to detect and deal with them if they do. What is most appropriate to do will depend on the risks identified and the likelihood of the risk occurring.

Competition law research (2018)

How much do business know about competition law?



Recent cases “Lifting the Lid”

Lessons from CMA cases



**Estate agents
collusion on fees:**
2 cases (2015 & 2017)

Fines of over £1.1m

Director
disqualification



**Steel tank suppliers
sharing information:**
fines of over £2.6mil

Director level
responsibility



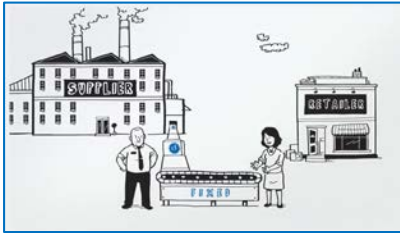
**Amazon sellers fixing
prices of merch:**
Fines of over £160,000

Fine uplift for director
involvement

Director disqualification

Motivating compliance

Useful resources to help businesses



Short films



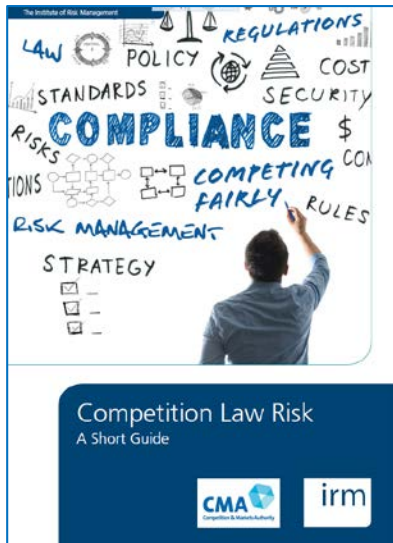
At-a-glance guides



Online quiz



Case studies



Short Risk Guide



60-second summaries

Collections:

Competing-fairly-in-business

Competition law & your business



Click headings to access online

Campaigns - #StopCartels

Online videos



Quiz and online form

Is your business competing fairly?

Certain behaviours are illegal under competition law.
Do you know where you, or your competitors, may be at risk?

Take our 2 minute quiz to see how much you know about competition law.

Start

press ENTER

Dedicated reporting channels



020 3738 6888

Call the cartels hotline if
you suspect cartel
activity.



Email us

Email the cartels hotline
cartelshotline@cma.gov.uk



020 3738 6833

And if you've been
directly involved, call our
leniency line.

Real-life examples



Website and social media advertising

