**3.2**

**Supplementary information sheet on  
aid for replacement or modernisation of a main or ancillary engine**

*This form must be used by Member States for the notification of any aid for the replacement or modernisation of a main or ancillary engine of fishing vessels, as described in Section 3.2 of Chapter 3 of Part II of the Guidelines for State aid in the fishery and aquaculture sector[[1]](#footnote-1) ('the Guidelines').*

1. Please confirm that the measure prescribes that the Union fishing vessels in respect of which aid is granted will not be transferred or reflagged outside the Union during at least five years from the final payment of the aid.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please confirm that aid will only be granted for the replacement or modernisation of a main or ancillary engine of a fishing vessel up to 24 meters in overall length.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Pursuant to point (253)(a) of the Guidelines, fishing vessels must belong to a fleet segment for which the latest report on fishing capacity, referred to in Article 22(2) of Regulation (EU) No 1380/2013[[2]](#footnote-2), has shown a balance with the fishing opportunities available to that segment (hereafter ‘national report’). Pursuant to point (254) of the Guidelines, the procedure and conditions set out in points (225) to (227) of Part II, Chapter 2, Section 2.2 apply for the purpose of point (253)(a). Against that background, please address the following:
   1. When was the latest national report prepared before the date of granting the aid?

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* + 1. Please provide the link to the latest national report or enclose it to the notification.

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* 1. Please confirm that the following conditions are fulfilled for all aid to be granted:
     1. Was the national report submitted by 31 May of year N[[3]](#footnote-3)?

yes  no

* + 1. Please confirm that the national report submitted in year N, and in particular the assessment of the balance contained therein, has been prepared on the basis of the biological, economic and vessel use indicators set out in the common guidelines[[4]](#footnote-4) referred to in Article 22(2) of Regulation (EU) No 1380/2013.

yes  no

*Please note that no aid may be granted if the national report, and in particular the assessment of the balance contained therein, has not been prepared on the basis of the biological, economic and vessel use indicators set out in the common guidelines referred to in Article 22(2) of Regulation (EU) No 1380/2013.*

* + 1. Does that national report submitted in year N demonstrate that there is a balance between fishing capacity and fishing opportunities in the fleet segment to which the vessel belongs?

yes  no

* + 1. Please explain how the national report was taken into account when designing the measure and how the balance is achieved.

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* + 1. Please confirm that the Commission has not called into question by 31 March of year N+1:

(a) the conclusion of the national report submitted in year N

(b) the assessment of the balance contained in the national report submitted in year N

* + 1. Please confirm that the measure prescribes that the aid may be granted on the basis of the national report submitted in year N only until 31 December of year N+1, i.e., the year following the year of the submission of the report.

yes  no

* + - 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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*If the measure concerns inland fishing, questions 3.1-3.2.6.1 do not need to be replied.*

1. Please confirm that the measure prescribes that fishing vessels must have been registered in the Union fleet register for at least five calendar years preceding the year of submission of the aid application.

yes  no

* 1. If the measure concerns inland fishing, please confirm that the measure prescribes that aid may only be granted in respect of a fishing vessel that has entered into service, in accordance with national law, for at least five calendar years preceding the year of submission of the aid application.

yes  no

* 1. If the answer to question 4 or 4.1 is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. For small-scale coastal fishing vessels and vessels used for inland fishing, please confirm that the measure prescribes that the new or modernised engine does not have more power in kW than that of the current engine.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. For other vessels up to 24 metres in overall length, please confirm that the measure prescribes that the new or modernised engine does not have more power in kW than that of the current engine and emits at least 20 % less CO₂ compared to the current engine.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please confirm that the measure prescribes that the fishing capacity withdrawn due to the replacement or modernisation of a main or ancillary engine must not be replaced.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please describe in detail the control and enforcement mechanisms in place to guarantee the fulfilment of the conditions laid down in Section 3.2 of Chapter 3, Part II of the Guidelines.

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1. Please confirm that the measure prescribes that all replaced or modernised engines must be subject to a physical verification.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please confirm how the reduction of CO₂ emission mentioned in question 6 will be met under the measure:

(a) through relevant information certified by the manufacturer of the engine concerned as part of a type approval or product certificate indicates that the new engine emits 20 % less CO₂ than the engine being replaced

(b) through relevant information certified by the manufacturer of the engine concerned as part of a type approval or product certificate indicates that the new engine uses 20 % less fuel than the engine being replaced

* 1. Please describe your selection in details.

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* 1. Please identify the provision(s) of the legal basis that reflect the box ticked in response to the previous question.

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1. Where the relevant information certified by the manufacturer of the engine concerned as part of a type approval or product certificate for one or both of the engines does not permit a comparison of the CO₂ emission or fuel consumption, please confirm how the reduction of CO₂ emission mentioned in question 6 will be considered to be met under the measure:

(a) the new engine uses an energy-efficient technology and the age difference between the new engine and the engine being replaced is at least seven years

(b) the new engine uses a type of fuel or a propulsion system which is considered to emit less CO₂ than the engine being replaced

(c) the Member State concerned measures that the new engine emits 20 % less CO₂ or uses 20 % less fuel than the engine being replaced under the normal fishing effort of the vessel concerned.

* 1. Please identify the provision(s) of the legal basis that reflect your selection.

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* 1. Pursuant to point (260) of the Guidelines, please confirm that you apply the Implementing Regulation (EU) 2022/46[[5]](#footnote-5) to identify the energy-efficient technologies referred to in point (259)(a) of the Guidelines and to further specify the methodology elements for the implementation of point (259)(c) thereof.

yes  no

* 1. Please describe how the measure applies those requirements.

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1. Please confirm that the eligible costs only include the direct and indirect costs related to the replacement or modernisation of a main or ancillary engine.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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* 1. Please provide a detailed description of the costs that are eligible under the measure.

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1. Please confirm that the measure prescribes that the maximum aid intensity does not exceed 40 % of the eligible costs.

yes  no

* 1. Please provide the maximum aid intensity(ies) applicable under the measure.

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* 1. Please identify the provision(s) of the legal basis setting out the maximum aid intensity(ies) under the measure.

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**OTHER INFORMATION**

1. Please indicate any other information considered relevant to the assessment of the measure under this Section of the Guidelines.

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1. OJ C 107, 23.03.2023, p 1 [↑](#footnote-ref-1)
2. Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22). [↑](#footnote-ref-2)
3. Please refer to points 225 and 226 of the Guidelines describing the sequences of the national report submitted in year N and the Commission’s action by 31 March of year N+1. [↑](#footnote-ref-3)
4. Communication from the Commission to the European Parliament and the Council: Guidelines for the analysis of the balance between fishing capacity and fishing opportunities according to Art 22 of Regulation (EU) No 1380/2013 of the European Parliament and the Council on the Common Fisheries Policy (COM(2014) 545 final). [↑](#footnote-ref-4)
5. Commission Implementing Regulation (EU) 2022/46 of 13 January 2022 implementing Regulation (EU) 2021/1139 of the European Parliament and of the Council establishing the European Maritime, Fisheries and Aquaculture Fund and amending Regulation (EU) 2017/1004 as regards the identification of energy-efficient technologies and the specification of methodology elements to determine the normal fishing effort of fishing vessels (OJ L 9, 14.1.2022, p. 27). [↑](#footnote-ref-5)