**3.3**

**Supplementary information sheet on   
aid for increase in the gross tonnage of a fishing vessel for the purposes of improving safety, working conditions or energy efficiency**

*This form must be used by Member States for the notification of any aid for the for the increase in the gross tonnage of a fishing vessel for the purposes of improving safety, working conditions or energy efficiency, as described in Section 3.3 of Chapter 3 of Part II of the Guidelines for State aid in the fishery and aquaculture sector[[1]](#footnote-1) ('the Guidelines').*

1. Please confirm that the measure prescribes that the Union fishing vessels in respect of which aid is granted will not be transferred or reflagged outside the Union during at least five years from the final payment of the aid.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Pursuant to point (265)(a) of the Guidelines, fishing vessels must belong to a fleet segment for which the latest report on fishing capacity, referred to in Article 22(2) of Regulation (EU) No 1380/2013, has shown a balance with the fishing opportunities available to that segment (hereafter ‘national report’). Pursuant to point (266) of the Guidelines, the procedure and conditions set out in points (225) to (227) of Part II, Chapter 2, Section 2.2 apply for the purpose of point (265)(a). Against that background, please confirm the following:
   1. When was the latest national report prepared before the date of granting the aid?

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* + 1. Please provide the link to the latest national report or enclose it to the notification.

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* 1. Please confirm that the following conditions are fulfilled for all aid to be granted:
     1. Was the national report submitted by 31 May of year N[[2]](#footnote-2)?

yes  no

* + 1. Please confirm that the national report submitted in year N, and in particular the assessment of the balance contained therein, has been prepared on the basis of the biological, economic and vessel use indicators set out in the common guidelines[[3]](#footnote-3) referred to in Article 22(2) of Regulation (EU) No 1380/2013.

yes  no

*Please note that no aid may be granted if the national report, and in particular the assessment of the balance contained therein, has not been prepared on the basis of the biological, economic and vessel use indicators set out in the common guidelines referred to in Article 22(2) of Regulation (EU) No 1380/2013.*

* + 1. Does that national report submitted in year N demonstrate that there is a balance between fishing capacity and fishing opportunities in the fleet segment to which the vessel belongs?

yes  no

* + 1. Please explain how the national report was taken into account when designing the measure and how the balance is achieved.

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* + 1. Please confirm that the Commission has not called into question by 31 March of year N+1:

(a) the conclusion of the national report submitted in year N

(b) the assessment of the balance contained in the national report submitted in year N

* + 1. Please confirm that the measure prescribes that the aid may be granted on the basis of the national report submitted in year N only until 31 December of year N+1, i.e., the year following the year of the submission of the report.

yes  no

* + - 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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*If the measure concerns inland fishing, questions 2.1-2.2.6.1 do not need to be replied.*

1. Please confirm that the measure prescribes that fishing vessels must not be longer than 24 metres in overall length.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please confirm that the measure prescribes that fishing vessels must have been registered in the Union fleet register for at least the 10 calendar years preceding the year of submission of the aid application.

yes  no

* 1. If the measure concerns inland fishing, please confirm that the measure prescribes that aid may only be granted in respect of a fishing vessel that has entered into service, in accordance with national law, for at least 10 calendar years preceding the year of submission of the aid application.

yes  no

* 1. If the answer to question 4 or 4.1 is ‘yes’, please identify the relevant provision(s) in the legal basis.

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1. Please confirm that the entry into the fishing fleet of new fishing capacity generated by the operation is compensated for by the prior withdrawal of at least the same amount of fishing capacity without public aid from the same fleet segment or from a fleet segment for which the latest national report, referred to in Article 22(2) of Regulation (EU) No 1380/2013, has shown that the fishing capacity is not in balance with the fishing opportunities available to that segment.

yes  no

* 1. If the answer to the previous question is ‘yes’, please identify the relevant provision(s) in the legal basis.

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*If the measure concerns inland fishing, this question does not apply.*

1. Please confirm the eligible costs:

(a) the increase in gross tonnage necessary for the subsequent installation or renovation of accommodation facilities dedicated to the exclusive use of the crew, including sanitary facilities, common areas, kitchen facilities and shelter deck structures

(b) the increase in gross tonnage necessary for the subsequent improvement or installation of on-board fire prevention systems, safety and alarm systems or noise-reduction systems

(c) the increase in gross tonnage necessary for the subsequent installation of integrated bridge systems to improve navigation or engine control

(d) the increase in gross tonnage necessary for the subsequent installation or renovation of an engine or a propulsion system that demonstrates a better energy efficiency or lower CO₂ emissions compared to the previous situation, which does not have a power exceeding the fishing vessel’s previously certified engine power pursuant to Article 40(1) of Council Regulation (EC) No 1224/2009[[4]](#footnote-4), and whose maximum power output is certified by the manufacturer for that engine or propulsion system model;

(e) the replacement or renovation of the bulbous bow provided that it improves the overall energy efficiency of the fishing vessel.

* 1. Please identify the provision(s) of the legal basis that reflect your selection.

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* 1. Please confirm that the eligible costs only include the direct and indirect costs related to the investment aid for improving safety, working conditions or energy efficiency leading to the increase in the gross tonnage of a fishing vessel.

yes  no

* 1. If the answer is ‘yes’, please identify the relevant provision(s) in the legal basis.

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* 1. Please provide a detailed description of the costs that are eligible under the measure. Please describe which costs relate to improving safety, working conditions or energy efficiency, respectively.

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1. Please describe in detail the control and enforcement mechanisms in place to guarantee the fulfilment of the conditions laid down in Section 3.3 of Chapter 3 of Part II of the Guidelines.

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1. Please provide a detailed description of the characteristics of the measure, including the amount of the fishing capacity increased and the purpose of that increase.

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*If the answer was already provided in response to a previous question or section, please refer to that answer.*

1. Please confirm that the measure prescribes that the maximum aid intensity does not exceed 40 % of the eligible costs.

yes  no

* 1. Please provide the maximum aid intensity(ies) applicable under the measure.

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* 1. Please identify the provision(s) of the legal basis setting out the maximum aid intensity(ies) under the measure.

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**OTHER INFORMATION**

1. Please indicate any other information considered relevant to the assessment of the measure under this Section of the Guidelines.

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1. OJ C 107, 23.03.2023, p 1 [↑](#footnote-ref-1)
2. Please refer to points 225 and 226 of the Guidelines describing the sequences of the national report submitted in year N and the Commission’s action by 31 March of year N+1. [↑](#footnote-ref-2)
3. Communication from the Commission to the European Parliament and the Council: Guidelines for the analysis of the balance between fishing capacity and fishing opportunities according to Art 22 of Regulation (EU) No 1380/2013 of the European Parliament and the Council on the Common Fisheries Policy (COM(2014) 545 final). [↑](#footnote-ref-3)
4. Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy, amending Regulations (EC) No 847/96, (EC) No 2371/2002, (EC) No 811/2004, (EC) No 768/2005, (EC) No 2115/2005, (EC) No 2166/2005, (EC) No 388/2006, (EC) No 509/2007, (EC) No 676/2007, (EC) No 1098/2007, (EC) No 1300/2008, (EC) No 1342/2008 and repealing Regulations (EEC) No 2847/93, (EC) No 1627/94 and (EC) No 1966/2006 (OJ L 343, 22.12.2009, p. 1). [↑](#footnote-ref-4)